

## **CLAIMS**

What is claimed is:

1. A method for use in detecting faces within a digital image, the method comprising:

*processing a set of initial candidate portions of digital image data in a boosting filter stage that uses a boosting chain to produce a set of intermediate candidate portions; and*

*processing said set of intermediate candidate portions in a post-filter stage to produce a set of final candidate portions.*

2. The method as recited in Claim 1, further comprising dividing a digital image into a plurality of portions.

3. The method as recited in Claim 2, wherein at least one of said plurality of portions has a shape selected from a group of shapes comprising a rectangle and a square.

4. The method as recited in Claim 1, further comprising processing said plurality of portions using a pre-filter stage that is configured to output said set of initial candidate portions selected from said plurality of portions based on at least one feature.

5. The method as recited in Claim 4, wherein said feature includes at least one feature selected from a group of features comprising a Haar-like feature, an extended feature, a mirror invariant feature, and a variance feature.

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2 6. The method as recited in Claim 4, wherein said pre-filter stage includes a  
3 linear filter.

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5 7. The method as recited in Claim 6, wherein said linear filter is based on a  
6 weak learner.

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8 8. The method as recited in Claim 6, wherein said linear filter is based on a  
9 decision function of  $H(x) = (a_1 f_1(x) > b_1) \wedge (a_2 (f_1(x) + r f_2(x)) > b_2)$ , wherein  $a_i$ ,  $b_i$  and  
10  $r \in (-1, 1)$  are coefficients determined during a learning procedure.

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12 9. The method as recited in Claim 1, further comprising training said boosting  
13 chain using face images, non-face images, and weak classifiers.

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15 10. The method as recited in Claim 9, wherein said boosting chain includes a  
16 plurality of boosting nodes arranged in an order within said boosting chain.

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18 11. The method as recited in Claim 10, wherein said boosting chain is trained  
19 using boosting classifiers corresponding to said boosting nodes.

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21 12. The method as recited in Claim 10, wherein a sample weight initialized for  
22 a current boosting classifier is adjusted based on a classification error rate of a  
23 previous boosting node within said order.

1 13. The method as recited in Claim 1, wherein said boosting chain includes a  
2 hierarchical chain structure.

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4 14. The method as recited in Claim 1, wherein said boosting filter stage  
5 includes an LSVM optimization.

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7 15. The method as recited in Claim 14, wherein said LSVM optimization is  
8 capable of finding a global maximum.

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10 16. The method as recited in Claim 15, wherein finding said global maximum  
11 is based on:

12 Maximize: 
$$L(\beta) = \sum_{i=1}^n \beta_i - \frac{1}{2} \sum_{i,j=1}^n \beta_i \beta_j y_i y_j (h(x_i) \cdot h(x_j))$$

13 subject to the constraints  $\sum_{i=1}^n \beta_i y_i = 0$  and  $C_i \geq \beta_i \geq 0$ ,  $i = 1, \dots, n$ , and wherein  
14 coefficient  $C_i$  is set according to a classification risk  $w$  and trade-off constant  $C$   
15 over a training set

16 
$$C_i = \begin{cases} wC & \text{if } x_i \text{ is a face pattern} \\ C & \text{otherwise} \end{cases}$$
  
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19 17. The method as recited in Claim 1, wherein said post-filter stage includes  
20 image pre-processing and masking processing

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22 18. The method as recited in Claim 17, wherein said image pre-processing  
23 includes lighting correction processing.  
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1 19. The method as recited in Claim 17, wherein said image pre-processing  
2 includes histogram equalization processing.  
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4 20. The method as recited in Claim 1, wherein said post-filter stage includes at  
5 least a color filter process.  
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7 21. The method as recited in Claim 1, wherein said post-filter stage includes at  
8 least an SVM filter process.  
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10 22. The method as recited in Claim 1, further comprising outputting  
11 information associated with at least said final candidate portion.  
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13 23. The method as recited in Claim 22, wherein said information identifies at  
14 least said final candidate portion.  
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16 24. The method as recited in Claim 22, wherein said information includes at  
17 least said final candidate portion.  
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19 25. The method as recited in Claim 22, wherein said information identifies  
20 rotation data associated with at least said final candidate portion.  
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22 26. The method as recited in Claim 1, further comprising employing at least  
23 one feature-based algorithm.  
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1       27. The method as recited in Claim 26, wherein said at least one feature-based  
2 algorithm uses Haar-like features.

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4       28. The method as recited in Claim 26, wherein said at least one feature-based  
5 algorithm uses extended features.

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7       29. The method as recited in Claim 26, wherein said at least one feature-based  
8 algorithm uses mirror invariant features.

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10      30. The method as recited in Claim 29, wherein said mirror invariant features  
11 are threshold configured.

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13      31. The method as recited in Claim 26, wherein said at least one feature-based  
14 algorithm uses variance features.

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16      32. The method as recited in Claim 1, further comprising performing in-plane  
17 estimation to detect an orientation of said face image data.

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19      33. The method as recited in Claim 32, wherein said orientation is with respect  
20 to an up-right position.

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22      34. The method as recited in Claim 33, further comprising performing up-right  
23 face detection based on said in-plane estimation.

1 35. The method as recited in Claim 34, wherein said up-right face detection is  
2 configured to identify out-plane rotation variations of said face image data.

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4 36. The method as recited in Claim 35, wherein said out-plane rotation  
5 variations are within a range of  $\Theta = [-45^\circ, 45^\circ]$ .

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7 37. The method as recited in Claim 21, wherein said SVM filter process is  
8 performed in a redundancy reduced feature space.

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10 38. The method as recited in Claim 37, wherein said SVM filter process further  
11 includes performing wavelet transformation to divided the original images into  
12 four sub-bands LL, HL, LH and HH.

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14 39. The method as recited in Claim 38, wherein said SVM filter process is  
15 configured to reduce said redundancy based on

16 
$$k'(u, v) = \sum_{0 \leq i < 4} (s_i u_i^T v_i + r_i)^2$$

17  
18 wherein each vector  $u_i$  and  $v_i$  corresponds to an  $i^{\text{th}}$  sub-band portion.

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20 40. The method as recited in Claim 38, further comprising selectively cropping  
21 of four sub-band portions.

1 41. A computer-readable medium having computer-implementable instructions  
2 for causing at least one processing unit to perform acts comprising:

3 detecting possible human face image data within a digital image using a  
4 multiple stage face detection scheme that includes at least a boosting filter stage  
5 configured to process a set of initial candidate portions of digital image data using  
6 a boosting chain to produce a set of intermediate candidate portions, and a post-  
7 filter stage configured to process said set of intermediate candidate portions to  
8 produce a set of final candidate portions.

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10 42. The computer-readable medium as recited in Claim 41, further comprising  
11 dividing a digital image into a plurality of portions, and wherein at least one of  
12 said plurality of portions has a shape selected from a group of shapes comprising a  
13 rectangle and a square.

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15 43. The computer-readable medium as recited in Claim 41, wherein said  
16 multiple stage face detection scheme further includes a pre-filter stage that is  
17 configured to output said set of initial candidate portions selected from said  
18 plurality of portions based on at least one feature.

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20 44. The computer-readable medium as recited in Claim 43, wherein said feature  
21 includes at least one feature selected from a group of features comprising a Haar-  
22 like feature, an extended feature, a mirror invariant feature, and a variance feature.

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24 45. The computer-readable medium as recited in Claim 43, wherein said pre-  
25 filter stage includes a linear filter based on a weak learner.

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2 46. The computer-readable medium as recited in Claim 41, wherein said  
3 boosting chain is trained using face images, non-face images, and weak classifiers.  
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5 47. The computer-readable medium as recited in Claim 46, wherein said  
6 boosting chain includes a plurality of boosting nodes arranged in an order within  
7 said boosting chain, said boosting chain is trained using boosting classifiers  
8 corresponding to said boosting nodes, and each of said boosting nodes is  
9 constructed based on its preceding node in said order.  
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11 48. The computer-readable medium as recited in Claim 41, wherein said  
12 boosting chain includes a hierarchical chain structure.  
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14 49. The computer-readable medium as recited in Claim 41, wherein said  
15 boosting filter stage includes an LSVM optimization.  
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17 50. The computer-readable medium as recited in Claim 41, wherein said post-  
18 filter stage includes a masking process, a lighting correction process, a histogram  
19 equalization process, a color filter process, and an SVM filter process.  
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21 51. The computer-readable medium as recited in Claim 41, further comprising  
22 employing at least one feature-based algorithm that uses at least one feature  
23 selected from a group of features including at least one Haar-like feature, at least  
24 one extended feature, at least one mirror invariant feature, and at least one  
25 variance features.



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2 52. The computer-readable medium as recited in Claim 41, further comprising  
3 performing in-plane estimation to predict an orientation of said face image data  
4 and applying an up-right detector to pre-rotated image data corresponding to the  
5 orientation prediction.  
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7 53. The computer-readable medium as recited in Claim 50, wherein said SVM  
8 filter process is configured to reduce redundancy in a feature space associated with  
9 at least one intermediate candidate portion, and performs wavelet transformation  
10 of said at least one intermediate candidate portion to produce a plurality of sub-  
11 bands portions.  
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13 54. The computer-readable medium as recited in Claim 52, further comprising  
14 selectively cropping at least one of said plurality of sub-band portions.  
15

16 55. An apparatus comprising:  
17 logic operatively configured to detect at least one human face within a digital  
18 image using a multiple stage face detection process that includes at least a  
19 boosting filter stage configured to process a set of initial candidate portions of  
20 digital image data using a boosting chain to produce a set of intermediate  
21 candidate portions, and a post-filter stage configured to process said set of  
22 intermediate candidate portions to produce a set of final candidate portions,  
23 wherein at least one of said final candidate portions includes detected face image  
24 data.  
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1 56. The apparatus as recited in Claim 55, wherein said logic is further  
2 configured to divide a digital image into a plurality of portions, and wherein at  
3 least one of said plurality of portions has a shape selected from a group of shapes  
4 comprising a rectangle and a square.

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6 57. The apparatus as recited in Claim 55, wherein said multiple stage face  
7 detection scheme further includes a pre-filter stage wherein said logic is  
8 configured to output said set of initial candidate portions selected from said  
9 plurality of portions based on at least one feature.

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11 58. The apparatus as recited in Claim 57, wherein said feature includes at least  
12 one feature selected from a group of features comprising a Haar-like feature, an  
13 extended feature, a mirror invariant feature, and a variance feature.

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15 59. The apparatus as recited in Claim 57, wherein as part of said pre-filter stage  
16 said logic includes a linear filter based on a weak learner.

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18 60. The apparatus as recited in Claim 55, wherein said boosting chain is trained  
19 using face images, non-face images, and weak classifiers.

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21 61. The apparatus as recited in Claim 60, wherein to provide said boosting  
22 chain said logic includes a plurality of boosting nodes and a plurality of boot strap  
23 functions arranged in an alternating order within said boosting chain, and wherein  
24 said boosting chain is trained using boosting classifiers corresponding to said  
25 boosting nodes, and wherein at least one sample weight associated with one of

1 said boot strap functions is adjusted based on at least one classification error of a  
2 weak classifier associated with a previous boosting node.

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4 62. The apparatus as recited in Claim 55, wherein said boosting chain is  
5 operatively arranged in a hierarchical chain structure.

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7 63. The apparatus as recited in Claim 55, wherein said boosting filter stage  
8 includes an LSVM optimization configured to determine a global maximum.

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10 64. The apparatus as recited in Claim 55, wherein as part of said post-filter  
11 stage said logic is further configured to perform at least one process selected from  
12 a group of processes that includes a lighting correction process, a histogram  
13 equalization process a color filter process, and an SVM filter process.

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15 65. The apparatus as recited in Claim 55, wherein said logic is further  
16 configured to output information associated with at least said final candidate  
17 portion, said information at least identifying said final candidate portion.

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19 66. The apparatus as recited in Claim 65, wherein said information includes  
20 rotation data associated with at least said final candidate portion.

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22 67. The apparatus as recited in Claim 55, wherein said logic is further  
23 cooperatively configured to implement at least one feature-based algorithm that  
24 uses at least one feature selected from a group of features including at least one  
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1 Haar-like feature, at least one extended feature, at least one mirror invariant  
2 feature, and at least one variance features.  
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4 68. The apparatus as recited in Claim 55, wherein said logic is further  
5 operatively configured to perform in-plane estimation that detects an orientation of  
6 said face image data, and up-right face detection based on said in-plane estimation,  
7 wherein said up-right face detection identifies out-plane rotation variations of said  
8 face image data.  
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10 69. The apparatus as recited in Claim 64, wherein as part of said SVM filter  
11 process said logic is configured to reduce redundancy in a feature space associated  
12 with at least one intermediate candidate portion based on wavelet transformation  
13 of said at least one intermediate candidate portion that produces a plurality of sub-  
14 bands portions.  
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16 70. The apparatus as recited in Claim 69, wherein said logic is further  
17 configured to selectively crop at four sub-band portions.  
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